Control & automate FINRA TRACE reporting with Inforalgo's cloud-based data automation platform

- Multiple trading venues
- Real-time reconciliation
- Intelligent automation rules tailored to your own trading set-up

Regulatory reporting has become a huge preoccupation for Capital Markets organisations, not least since the 2017 changes to FINRA TRACE requirements. These now encompass US Treasuries trades globally, with extremely low tolerance of inaccuracies. Generating robust, timely reporting and matching has become a costly, resource-hungry process which ultimately leads to disciplinary actions against market participants for violations.

Inforalgo's Data Automation platform directly addresses this need - automating ALL real time regulatory reporting across regimes and asset classes, providing accurate and intelligent reporting that fits a market participant's specific trading scenario. This provides a significant advantage over typical 'black box' style, 'fire and forget' generic solutions, allowing market participants to take back control of their regulatory reporting activities.

Inforalgo is used to provide FINRA TRACE reporting and has been trusted by major trading venue providers and their clients' operations and compliance teams for years. Clients combine our rich Capital Markets industry knowledge and deep systems integration and data management expertise, to automate regulatory reporting.

Benefits at a glance:

Cloud-based for rapid, easy deployment and continuous updates

A single, adaptable solution for all regulatory reporting regimes – FINRA TRACE, EMIR, MiFID II, SFTR, MAS and more

Caters for any combination of trading venues and data feeds, with rapid switch on

Powerful ready-to-go capabilities that can be customised quickly and effortlessly to reflect your own trading environment with relevant eligibility reporting rules, e.g.:

- o adjustments to reflect different time zones
- o affiliate trades
- o alleges from contra's

Meaningful, traceable output, rather than blind, black-box reporting:

- o Track trading data across the entire transaction and reporting lifecycle in a single view

Immediacy:

- o Automatic validation for accuracy and completeness as trades happen in real time
- o Low latency/real-time data capture & management ensures compliance with tight reporting requirements, e.g. the 15-minute reporting window for Corporate Bond trades
- o Real-time reconciliation reduces end-of-day exception management and delays across time zones, which could lead to reporting violations and fines for non-compliance

inforalgo
Intelligent Automation

Get in touch today
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Inforalgo's solutions and services are built on more than 30 years' rich experience in providing intelligent data management solutions and services to Capital Markets clients globally. Our team comprises some of the brightest minds in financial data science, who specialise in helping buy and sell-side organisations automate the flow of their pre- and post-trade data. Our products are trusted by many of the world's top financial institutions.